

ANNUAL RETURN FOR NBFCs WITH ASSET SIZE BETWEEN RS.100 CR. To 500cr

Return for the financial year (e.g. Mar-2014)

Mar-2017

Company Profile		Value	Field Code	Validations
1. Name of the Company		Genesis Finance Company Limited	IC001	
2. Company Code		DEL02225	IC002	
3. Permanent Account Number		AAACG0132E	IC003	
4. Registered Office address		4,MMTC/STC Market, Geetanjali, New Delhi-110017	IC004	
5. Corporate Office address		NA	IC005	
6. Status of the company (Public Ltd; Private Ltd; Foreign Company; Joint Venture):		Public Ltd	IC006	
8. Nature of business (Type AFC for Asset Finance Company; Type LC for Loan Company; Type IC for Investment Company; Type IFC for Infrastructure Finance Company; CIC for Core Investment Company; Type IDF for Infra Debt Fund NBFC, MFI for Micro Finance NBFCs, Factor for Factoring NBFCs)		AFC	IC007	
9. Whether the Company has received any FDI (Yes/No) If yes, FDI as a percentage to Total Shareholding of the company		No	IC008	
		NA	IC008	
11. Number of Branches / Offices.		1	IC010	
12. If a subsidiary company, please indicate the name and address of the holding company		NA	IC011	
13. If the company is having subsidiaries / associate/group companies, number thereof. (Please indicate the names, activity, regulated by etc.)		NA	IC012	
14. Name(s) of the company's Bankers with addresses and phone numbers.		Syndicate Bank, Nehru Place Branch, New Delhi-110019 Phone	IC013	
17. Name(s) of the company's auditor with addresses and phone numbers.14		P K Soni & Co, 14/14 LGF, Kalkaji, New Delhi 110019 Phone	IC014	
15. Whether listed (Yes/No))		No	IC015	
If yes, with which Stock exchange?		-	IC016	
16. Whether the member of CIC (Yes/no)		No	IC017	

Ref No. NBSS-8 Rev 2017 | 420193

PART - 1. SOURCES OF FUNDS				
Description	Amount (Rs. In Lakhs)	Item Code	Validations	
1.Share Capital				
Paid-up equity capital				
(i) Ordinary Shares	514.49	111	>=0, 111A+112+112A	
(ii) Preference (Convertible & Non-convertible) shares	514.49	111A	>=0	
(iii) Cumulative Convertible Preference Shares	0.00	112	>=0	
	0.00	112A	>=0	
2. Reserves and Surplus				
(i) Capital Reserve	0.00	113		
(ii) Debenture Redemption Reserve	0.00	114A		
(iii) Share Premium	3970.67	115	>=0	
(iv) General Reserves	0.00	116	>=0	
(v) Statutory/Special Reserve (Section 45-IC reserve to be shown separately below item no.(vi))	0.00	117	>=0	
(vi) Reserves under Sec 45-IC of RBI Act 1934	244.50	117A	>=0	
(vii) Other reserves	0.00	118	>=0	
(viii) Revaluation Reserves	0.00	117B		
(ix) Balance of profit and loss account	1065.45	119	><=0	
Total Reserves & Surplus	5280.62	110	113+114+114A+115+116+117+117A+117B+118+119	
3. Secured Borrowings				
(i) Debenture	0.00	121	>=0	
(ii) Deferred credits	0.00	122	>=0	
(iii) Borrowings from Banks	3524.91	123	>=0	
(iv) Borrowings from FIs	0.00	124	>=0	
(ix) Other Borrowings	72.32	125	>=0	
(x) Interest accrued but not due on the above	0.00	126	>=0	
Total Secured Borrowings	3597.23	120	>=0, 121+122+123+124+125+126	
4. Unsecured Borrowings				
(i) Borrowings from relatives of promoters / directors	164.17	131	>=0	
(ii) Inter-corporate borrowings	281.71	132	>=0	
(iii) Borrowings from Banks	0.00	133	>=0	
(iv) Borrowings from FIs	0.00	134	>=0	
(v) Commercial paper	0.00	135	>=0	
(vi) Debentures not in the nature of public deposit	1833.33	136	>=0	

(vii) Money received by way of caution money, margin money from the borrowers, lessee, hires or by way of security or advance from agents in the course of company's business or advance received against orders for supply of goods or properties or for redereing services	0.00	136E	>=0
(viii) Subordinated debts	0.00	136F	>=0
(xiii) Borrowings from Holding Companies	0.00	136K	>=0
(xiv) Other Borrowings	0.00	137	>=0
(xv) Interest accrued but not due on the above	0.00	138	>=0
Total Un-Secured Borrowings	130		>=0,
	2279.21		131+132+133+134+135+136+136E+136F+136G+136H+136I+136J+136K+137+138
5. Current liabilities			
(i) Current Liabilities	125.45	141	>=0
(ii) Sundry Creditors	0.00	141A	>=0
(iii) Liabilities to Subsidiaries & Holding Companies	0.00	141B	>=0
(iv) Deferred Tax Liabilities (Net)	0.00	141C	>=0
(v) Others	0.00	142	>=0
Total Current Liabilities	125.45	140	>=0, 141+141A+141B+141C+142
6. Provisions			
(i) For taxation	56.53	151	>=0
(ii) For contingencies	0.00	152	>=0
(iii) For pension, gratuity and similar staff benefit schemes	40.56	153	>=0
(iv) Loan loss provisions	0.00	154	>=0
(v) Others	0.00	155	>=0
(vi) Proposed dividends	0.00	156	>=0
(vii) Provision for Diminution on Investments	0.00	156A	><=0
(viii) Provision for Standard Assets	41.03	156B	>=0
Total Provisions	138.12	157	151+152+153+154+155+156+156A+156B
TOTAL	11935.12	150	111+110+120+130+140+157; And = 200
Owned Fund	5777.50	111B	111+110-(252C+252E)

PART - 2. APPLICATION OF FUNDS				
Description	Amount (Rs. In Lakhs)	Item Code	Validations	
1. Loans & Advances				
(i) Secured	7899.10	201	>=0	
(ii) Unsecured	3823.12	202	>=0	
Total	11722.22	210A	>=0, 201+202	
<i>Of Total Loans & Advances</i>				
(a) Inter-Corporate Deposits	0.00	203	>=0	
(b) Bills Purchased & Discounted	0.00	204	>=0	
(c) Loans to Corporates	5033.80	205	>=0	
(d) Others	6688.42	206	>=0	
Total Loans & Advances	11722.22	210	>=0, =203+204+205+206 AND =210A	
2. Hire Purchase and Lease Assets	0.00	211	>=0	
3. Investments (As per AS-13)	0.00	221	>=0, 230+240	
4. Long-term Investments:				
(i) Government securities and government guaranteed bonds	0.00	231	>=0	
(ii) Equity shares	0.00	232	>=0	
(iii) Preference shares	0.00	233	>=0	
(iv) Debentures and bonds	0.00	234	>=0	
(iv) Units of mutual funds	0.00	235	>=0	
(vi) Others	0.00	236	>=0	
Total Long Term Investments	0.00	230	>=0, 231+232+233+234+235+236	
5. Current Investments:				
(i) Government securities and government guaranteed bonds including treasury bills	0.00	241	>=0	
(ii) Equity shares	0.00	242	>=0	
(iii) Preference shares	0.00	243	>=0	
(iv) Debentures and bonds	0.00	244	>=0	
(v) Units of mutual funds	0.00	245	>=0	
(vi) Commercial Paper	0.00	245A	>=0	
(vi) Others	0.00	246	>=0	
Total Current Investments	0.00	240	>=0, 241+242+243+244+245+245A+246	
6. Cash and Bank Balances	0.47	251	>=0, 251A+251B	
Of which; (i) Cash in Hand	0.47	251A	>=0	
(ii) Deposits with Banks	0.00	251B	>=0	
7. Other current assets				
(i) Advance Taxes paid / Tax deducted at source	0.00	252A	>=0	
(ii) Interest Accrued On Investments	0.00	252B	>=0	

(iii) Deferred Tax Assets(Net)	17.61	252C	>=0
(iv) Interest Accrued on Loans & Advances	0.00	252D	>=0
(v) Prepaid expenses and Other Intangible assets	0.00	252E	>=0
(vi) Security Deposits	0.00	252F	>=0
(vii) Sundry/Trade Debtors	0.00	252G	>=0
(viii) Advances to Staff	0.00	252H	>=0
(ix) Stock in Trade	0.00	252I	>=0
(x) Share Application Money	0.00	252J	>=0
(xi) Others	71.24	252K	>=0
Total Other Current Assets		252	>=0,
	88.85		252A+252B+252C+252D+252E+252F+252G+252H+252I+252J+252K
8. Premises & Fixed Assets			
(i) Fixed assets	123.58	253	>=0
(ii) Assets Acquired in Satisfaction of Claims	0.00	253A	>=0
9. Miscellaneous expenditure (to the extent not written off or adjusted)	0.00	254	>=0
10. Profit and Loss Account (Accumulated Loss)	0.00	255	>=0
Total	11935.12	200	210+211+221+251+252+253+253A+254+255; And = 150
Contingent Liabilities	0.00	256	>=0
11. Gross NPA (Rs lakhs)	0.00	IC018	>=0
12. Net NPA (Rs lakhs)	0.00	IC019	>=0
13. Total Derivatives (Exposure in Rs lakhs)	0.00	IC020	IC0201+IC0202+IC0203+IC0204+IC0205+IC0206+IC0207
(I) Forward Forex Contracts	0.00	IC0201	>=0
(II) Futures			
Currency futures	0.00	IC0202	>=0
Interest rate futures	0.00	IC0203	>=0
(III) Options			
Currency options purchased/sold	0.00	IC0204	>=0
Interest rate options	0.00	IC0205	>=0
(IV) Forward rate agreements	0.00	IC0206	>=0
(V) Swaps			

PART - 3. PROFIT AND LOSS ACCOUNT

ITEMS OF INCOME	Cumulative till end of the Month (April to March)		
	Amount (Rs. In Lakhs)	Item Code	Validations
A. Income from Financial Business			
1. Fund-Based Income			
(i) Gross Lease Income	0.00	C31A11	>=0
Add/Less:Lease Equalisation Credit/Charge	0.00	C31A111	>=<0;
Net Lease Income	0.00	C31A112	C31A11 + C31A111
(ii) Hire Purchase Income (including compensation charges)	0.00	C31A12	>=0
(iii) Bills Discounting Income	0.00	C31A13	>=0
(iv) Interest Income		C31A14	>=0,
	1316.32		C31A14a + C31A14b
(a) Interest on Inter-corporate Deposits	0.00	C31A14a	>=0
(b) Interest on Other Loans	1316.32	C31A14b	>=0
(v) Investment Income		C31A15	>=0,
	0.00		C31A15a + C31A15b
(a) Interest	0.00	C31A15a	>=0
(b) Dividends	0.00	C31A15b	>=0
(vi) Profit on Sale of Investments	0.00	C31A16	>=0
(vii) Other fund-based income	0.00	C31A17	>=0
Total Fund-Based Income		C31A10	C31A112 + C31A12 + C31A13 + C31A14 + C31A15 + C31A16 + C31A17
	1316.32		
2. Fee-Based Income			
Total Fee Based Income	0.00	C31A20	>=0
3. Miscellaneous income	66.39	C31A30	>=0
Total Income from Financial Business	1382.71	C31A00	C31A10 + C31A20 + C31A30
B. Income from Non-Financial Business	0.00	C31B00	>=0
C. Total Income	1382.71	C31C00	C31A00 + C31B00
ITEMS OF EXPENSES			
A. Expenses Relating to Financial Business			

1. Depreciation on Fixed Assets including Leased Assets	11.08	C32A01	>=0
2. Interest Expense and other financing cost			
(a) Interest on Inter-corporate Deposits	59.97	C32A02a	>=0
(b) Interest on credits from Banks	439.20	C32A02b	>=0
(c) Interest on credits from Financial Institutions	0.00	C32A02c	>=0
(d) Other Financing Charges	96.47	C32A02d	>=0
Total		C32A02	>=0, C32A02a+C32A02b+C32A02c+C32A02d
3. Brokerage (including reimbursement of expenses to brokers)	595.64		
	94.90	C32A03	>=0
4. Discount/charges on Bills rediscounted	0.00	C32A04	>=0
5. Loss on Sale of Investments	0.00	C32A05	>=0
6. Bad Debts written off	0.00	C32A06	>=0
7. Provisions against Non-Performing Assets	0.00	C32A07	>=0
8. Reversal of Income on NPAs	0.00	C32A08	>=0
9. Diminution in value of investments	0.00	C32A09	>=0
10. Operating Expenses	216.24	C32A10	C32A11+ C32A12
Of which; (i) Employee Costs	133.95	C32A11	>=0
(ii) Other Administrative Costs	82.29	C32A12	>=0
B. Expenses Relating to Non-Financial Business	0.00	C32B00	>=0
C. Total expenses		C32C00	C32A01+C32A02+C32A03+C32A04+C32A05+C32A06+C32A07+C32A08+C32A09+C32A10+C32A11+C32A12
1. Profit before Tax	917.86		
2. Provision for taxation	464.85	C301	C31C00 - C32C00
3. Net Profit/Loss	164.68	C302	>=<0
Financial Income/Total Income (%)	300.17	C303	C301 - C302
	100.00	C304	(C31A00/C31C00)*100

PART-7. DETAILS OF EXPOSURE TO SENSITIVE SECTORS				
(Please note that information given in this Part is also included under Part 2 under appropriate heads)				
A. Loan & Advances to Capital Market				
A.1. Fund Based				
Particulars	Outstanding Balance	Field Code	(Rs lakhs) Validations	
1. Individuals	0.00	OS811	>=0	
2. Share and Stock Brokers	0.00	OS827	>=0	
3. Market Makers	0.00	OS1001	>=0	
4. IPO Financing	0.00	OS810	>=0	
5. Any Other entities	0.00	OS1002	>=0	
6. All Other Borrowers against Security of Shares	0.00	OS826	>=0	
Total Advances to Capital Market		OS1000	>=0, OS811+OS827+OS1001+OS810+OS1002+OS826	
A.2. Non-Fund Based				
Particulars	Outstanding Balance	Field Code	Validations	
1. Financial Guarantees Issued to Stock Exchanges on Behalf of Stock Brokers	0.00	OS831	>=0	
2. Other Financial Guarantees	0.00	OS832	>=0	
Total Financial Guarantees	0.00	OS1010	>=0, OS831+OS832	
B. Investments in Capital Market (Listed instruments only)				
Particulars	Outstanding Balance	Field Code	Validations	
1. Convertible Bonds and Debentures	0.00	OS802	>=0	
2. Equities	0.00	OS801	>=0	
3. Equity Oriented Mutual Funds	0.00	OS804	>=0	
4. Others	0.00	OS1011	>=0	
Total Investments in Capital Market		OS1020	>=0, OS802+OS801+OS804+OS1011	
Total Capital Market Exposure (A+B)	0.00		1	
	0.00	OS1030	>=0, OS1000+OS1010+OS1020	
C. Investments in Securities				
Category of Securities	Outstanding Balance	Field Code	Validations	
1. PSU Bonds	0.00	OS1041	>=0	
2. Other Corporate Bonds and Debentures	0.00	OS1042	>=0	
3. Mutual Funds (including Units)	0.00	OS1043	>=0	
4. Others	0.00	OS1044	>=0	
Sub-Total (Quoted)		OS1040	>=0, OS1041+OS1042+OS1043+OS1044	

C.2. Unquoted			
1. PSU Bonds	0.00	OS1051	>=0
2. Other Corporate Bonds and Debentures	0.00	OS1052	>=0
3. Mutual Funds (including Units)	0.00	OS1053	>=0
4. Others	0.00	OS1054	>=0
Sub-Total (Unquoted)		OS1050	>=0, OS1051+OS1052+OS1053+OS 1054
0.00			
C.3. Equities			
1. Quoted (Listed)	0.00	OS1061	>=0
2. Unquoted (Un-listed)	0.00	OS1062	>=0
Sub-Total	0.00	OS1060	>=0, OS1061+OS1062
Grand Total(C.1+C.2+C.3)	0.00	OS1070	>=0, OS1040+OS1050+OS1060
D. Advances to Real Estate Sector			
Particulars	Outstanding Balance	Field Code	Validations
1. Commercial Property	0.00	OS1081	>=0
2. Land & Building Developers	0.00	OS1082	>=0
3. Mortgages other than individual Housing Loans	0.00	OS1083	>=0
4. Others	0.00	OS1084	>=0
Total Exposure to Real Estate Sector		OS1080	>=0, OS1081+OS1082+OS1083+OS 1084
0.00			
E. Advances to Commodities			
Particulars	Outstanding Balance	Field Code	Validations
1. Gems & Jewellery	0.00	OS1091	>=0
2. Metals	0.00	OS1092	>=0
3. Others	0.00	OS1093	>=0
Total Exposure to Commodities	0.00	OS1090	OS1091+OS1092+OS1093

PART-8. FOREIGN SOURCES OF FUNDS				
Particulars	Amount (lakhs)	(Rs. In lakhs)	Field Code	Validations
(i) Equity shares		0.00	901	$\geq 0, 906+907$
Of which;			907	≥ 0
i) Foreign Institutional Investors		0.00		
ii) Foreign Direct Investment		0.00	906	≥ 0
(ii) Borrowings		0.00	902	≥ 0
(iii) Bonds/Debentures		0.00	903	≥ 0
(iv) Others		0.00	904	≥ 0
Total Foreign Sources		0.00	905	$901 + 902 + 903 + 904; \leq$ 150

ANNEX-6: Sectoral Deployment of Credit & NPA

Activity	Field Code	No. of A/Cs	Validations	Field Code	Loans & Advances (Outstanding) (Rs. In Lakhs)	Validations	Field Code
(i) Agriculture	NA210A	0	>=0	A210A	0.00	>=0	B210A
(ii) Small Scale Industries	NA210B	0	>=0	A210B	0.00	>=0	B210B
(iii) Medium & Large Industries	NA210C	29	>=0	A210C	5056.82	>=0	B210C
(iv) Construction	NA210D	3	>=0	A210D	372.40	>=0	B210D
(v) Advances to Capital Market	NA210E	0	>=0	A210E	0.00	>=0	B210E
(vi) Wholesale Trade	NA210F	3	>=0	A210F	804.70	>=0	B210F
(vii) Retail Trade	NA210G	1	>=0	A210G	8.96	>=0	B210G
(viii) Commercial Real Estate	NA210H	0	>=0	A210H	0.00	>=0	B210H
(ix) Infrastructure	NA210I	0	>=0	A210I	0.00	>=0	B210I
(x) Retail Housing	NA210J	1	>=0	A210J	1449.40	>=0	B210J
(xi) Transport Operators	NA210K	0	>=0	A210K	0.00	>=0	B210K
(xii) Consumer Durables	NA210L	3	>=0	A210L	17.79	>=0	B210L
(xiii) Microfinance	NA210M	0	>=0	A210M	0.00	>=0	B210M
(xiv) Others	NA210N	43	>=0	A210N	4012.15	>=0	B210N
Total	NA210	83.00	>=0, NA210A+NA210B+N A210C+NA210D+NA 210E+NA210F+NA2 10G+NA210H+NA21 0I+NA210J+NA210K +NA210L+NA210M+	A210	11722.22	>=0, A210A+A210B+A21 0C+A210D+A210E+ A210F+A210G+A21 0H+A210I+A210J+A 210K+A210L+A210 M+A210N & =210+211	B210
			NA210N				